



Section 5

PATENT APPLICATION

Attorney Docket No. 69971

Date: July 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willebrand, Heinz

Appln No.: 09/835,866

Filed: April 16, 2001

For: AN INTEGRATED ENVIRONMENTAL  
CONTROL AND MANAGEMENT SYSTEM  
FOR FREE-SPACE OPTICAL  
COMMUNICATION SYSTEMS

CERTIFICATE OF MAILING

I hereby certify that this paper is being  
deposited with the United States Postal  
Service as first class mail in an envelope  
addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on this date,

7/25/01

Richard E. Wawryzniak  
Registration No. 36,048  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated June 8, 2001,  
enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Five (5) sheets of substitute drawings in compliance with  
37 C.F.R. § 1.84 having the appropriate margins.
- (X) An executed Declaration for Patent Application, including Power  
of Attorney.
- (X) Assignment(s) of the invention to LightPointe Communications,  
Inc., and Recordation Form Cover Sheet - Patents Only - Form  
PTO-1595.
- (X) A check in the amount of \$40.00 to cover the fee for recording  
the assignment(s).
- (X) Fee Calculation:

Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee      \$ 150.00      \$ \_\_\_\_\_  
• Surcharge under 37 C.F.R. § 1.16(1) \$ 50.00      \$ \_\_\_\_\_

or

(X) Non-Provisional Utility Application \$710.00 \$ 710.00

Basic Fee

• Independent 5 - 3 = 2 x \$ 80.00 = \$ 160.00  
Claims

• Total Claims 30 - 20 = 10 x \$ 18.00 = \$ 180.00

• Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00


Total Filing and/or Surcharge Fee \$ 1,180.00

(X) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ 590.00

(X) A check in the amount of \$590.00 to cover the Filing and/or Surcharge Fee is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate.

July 25, 2001

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,866	JUL 3 0 2001 04/16/2001	Heinz Willebrand	69971

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 606033406

CONFIRMATION NO. 5585

## FORMALITIES LETTER



\*OC000000006164620\*

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 10 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 590.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/01/2001 00000024 09835866

01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205

F00220-99835866

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/29/2004 09:29:00